

μ - τ Symmetry and Maximal CP Violation

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(Dated: April, 2005)

We argue the possibility that a real part of a flavor neutrino mass matrix only respects a μ - τ symmetry. This possibility is shown to be extended to more general case with a phase parameter θ , where the μ - τ symmetric part has a phase of $\theta/2$. This texture shows maximal CP violation and no Majorana CP violation.

PACS numbers: 13.15.+g, 14.60.Pq, 14.60.St

The present experimental data on neutrino oscillations [1, 2] indicate the mixing angles [3] satisfying

$$0.70 < \sin^2 2\theta_{\odot} < 0.95, \quad 0.92 < \sin^2 2\theta_{atm}, \quad \sin^2 \theta_{CHOOZ} < 0.05, \quad (1)$$

where θ_{\odot} is the solar neutrino mixing angle, θ_{atm} is the atmospheric neutrino mixing angle and θ_{CHOOZ} is for the mixing angle between ν_e and ν_{τ} . These mixing angles are identified with the mixings among three flavor neutrinos, ν_e , ν_{μ} and ν_{τ} , yielding three massive neutrinos, $\nu_{1,2,3}$: $\theta_{12} = \theta_{\odot}$, $\theta_{23} = \theta_{atm}$ and $\theta_{13} = \theta_{CHOOZ}$. These data seem to be consistent with the presence of a μ - τ symmetry [4, 5, 6, 7] in the neutrino sector, which provides maximal atmospheric neutrino mixing with $\sin^2 2\theta_{23} = 1$ as well as $\sin \theta_{13} = 0$.

Although neutrinos gradually reveal their properties in various experiments since the historical Super-Kamiokande confirmation of neutrino oscillations [1], we expect to find yet unknown property related to CP violation [8]. The effect of the presence of a leptonic CP violation can be described by four phases in the PMNS neutrino mixing matrix, U_{PMNS} [9], to be denoted by one Dirac phase of δ and three Majorana phases of $\beta_{1,2,3}$ as $U_{PMNS} = U_{\nu} K$ [10] with

$$U_{\nu} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -c_{23}s_{12} - s_{23}c_{12}s_{13}e^{i\delta} & c_{23}c_{12} - s_{23}s_{12}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{23}s_{12} - c_{23}c_{12}s_{13}e^{i\delta} & -s_{23}c_{12} - c_{23}s_{12}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix},$$

$$K = \text{diag}(e^{i\beta_1}, e^{i\beta_2}, e^{i\beta_3}), \quad (2)$$

where $c_{ij} = \cos \theta_{ij}$ and $s_{ij} = \sin \theta_{ij}$ ($i, j = 1, 2, 3$) and Majorana CP violation is specified by two combinations made of $\beta_{1,2,3}$ such as $\beta_i - \beta_3$ in place of β_i in K . To examine such effects of CP violation, there have been various discussions [11] including those on the possible textures of flavor neutrino masses [12, 13, 14, 15].

In this note, we would like to focus on the role of the μ - τ symmetry in models with CP violation [14, 15], which can be implemented by introducing complex flavor neutrino masses. The μ - τ symmetric texture gives $\sin \theta_{13} = 0$ as well as maximal atmospheric neutrino mixing characterized by $c_{23} = \sigma s_{23} = 1/\sqrt{2}$ ($\sigma = \pm 1$). Because of $\sin \theta_{13} = 0$, Dirac CP violation is absent in Eq.(2) and CP violation becomes of the Majorana type. Since the μ - τ symmetry is expected to be approximately realized, its breakdown is signaled by $\sin \theta_{13} \neq 0$. To have $\sin \theta_{13} \neq 0$, we discuss another implementation of the μ - τ symmetry such that the symmetry is only respected by the real part of M_{ν} . The discussion is based on more general case, where M_{ν} is controlled by one phase to be denoted by θ and the specific value of $\theta = 0$ yields the μ - τ symmetric real part. It turns out that Majorana CP violation is absent because all three Majorana phases are calculated to be $-\theta/4$ while Dirac CP violation becomes maximal.

Our complex flavor neutrino mass matrix of M_{ν} is parameterized by

$$M_{\nu} = \begin{pmatrix} M_{ee} & M_{e\mu} & M_{e\tau} \\ M_{e\mu} & M_{\mu\mu} & M_{\mu\tau} \\ M_{e\tau} & M_{\mu\tau} & M_{\tau\tau} \end{pmatrix}, \quad (3)$$

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where $U_{PMNS}^T M_\nu U_{PMNS} = \text{diag.}(m_1, m_2, m_3)$.¹ The mixing angles have been calculated in the Appendix of Ref.[16] and satisfy

$$\sin 2\theta_{12} (\lambda_1 - \lambda_2) + 2 \cos 2\theta_{12} X = 0, \quad (4)$$

$$\sin 2\theta_{13} (M_{ee} e^{-i\delta} - \lambda_3 e^{i\delta}) + 2 \cos 2\theta_{13} Y = 0, \quad (5)$$

$$(M_{\tau\tau} - M_{\mu\mu}) \sin 2\theta_{23} - 2M_{\mu\tau} \cos 2\theta_{23} = 2s_{13} e^{-i\delta} X, \quad (6)$$

and neutrino masses are given by

$$\begin{aligned} m_1 e^{-2i\beta_1} &= \frac{\lambda_1 + \lambda_2}{2} - \frac{X}{\sin 2\theta_{12}}, & m_2 e^{-2i\beta_2} &= \frac{\lambda_1 + \lambda_2}{2} + \frac{X}{\sin 2\theta_{12}}, \\ m_3 e^{-2i\beta_3} &= \frac{c_{13}^2 \lambda_3 - s_{13}^2 e^{-2i\delta} M_{ee}}{\cos 2\theta_{13}}, \end{aligned} \quad (7)$$

where

$$\begin{aligned} \lambda_1 &= c_{13}^2 M_{ee} - 2c_{13}s_{13}e^{i\delta}Y + s_{13}^2 e^{2i\delta}\lambda_3, & \lambda_2 &= c_{23}^2 M_{\mu\mu} + s_{23}^2 M_{\tau\tau} - 2s_{23}c_{23}M_{\mu\tau}, \\ \lambda_3 &= s_{23}^2 M_{\mu\mu} + c_{23}^2 M_{\tau\tau} + 2s_{23}c_{23}M_{\mu\tau}, \end{aligned} \quad (8)$$

$$X = \frac{c_{23}M_{e\mu} - s_{23}M_{e\tau}}{c_{13}}, \quad Y = s_{23}M_{e\mu} + c_{23}M_{e\tau}. \quad (9)$$

To clarify the importance of the μ - τ symmetry, which accommodates maximal atmospheric neutrino mixing and $\sin \theta_{13} = 0$, we first review what conditions are imposed by the requirement of $\sin \theta_{13} = 0$. From Eq.(5), we require that

$$Y = s_{23}M_{e\mu} + c_{23}M_{e\tau} = 0, \quad (10)$$

giving rise to $\tan \theta_{23} = -\text{Re}(M_{e\tau})/\text{Re}(M_{e\mu}) = -\text{Im}(M_{e\tau})/\text{Im}(M_{e\mu})$. Since $\sin \theta_{13} = 0$, Eq.(6) reads

$$(M_{\tau\tau} - M_{\mu\mu}) \sin 2\theta_{23} = 2M_{\mu\tau} \cos 2\theta_{23}. \quad (11)$$

These are the well known relations that determine θ_{23} if $\sin \theta_{13} = 0$. Maximal atmospheric neutrino mixing arises if

$$M_{\tau\tau} = M_{\mu\mu}, \quad (12)$$

which in turn gives

$$M_{e\tau} = -\sigma M_{e\mu}. \quad (13)$$

The flavor neutrino masses satisfying Eqs.(12) and (13) suggest the presence of μ - τ symmetry in neutrino physics. The remaining mixing angle of θ_{12} satisfies

$$M_{\mu\mu} - \sigma M_{\mu\tau} = M_{ee} + \frac{2\sqrt{2}}{\tan 2\theta_{12}} M_{e\mu}, \quad (14)$$

which determines the definite correlation of the phases of the flavor neutrino masses.

In place of Eqs.(10) and (11), using a Hermitian matrix of $\mathbf{M} = M_\nu^\dagger M_\nu$, we can find that $\tan \theta_{23} = -\text{Re}(\mathbf{M}_{e\tau})/\text{Re}(\mathbf{M}_{e\mu}) = -\text{Im}(\mathbf{M}_{e\tau})/\text{Im}(\mathbf{M}_{e\mu})$, where $\mathbf{M}_{e\mu} = M_{ee}^* M_{e\mu} + M_{e\mu}^* M_{\mu\mu} + M_{e\tau}^* M_{\mu\tau}$ and $\mathbf{M}_{e\tau} = M_{ee}^* M_{e\tau} + M_{e\mu}^* M_{\mu\tau} + M_{e\tau}^* M_{\tau\tau}$. In addition, we have argued that $\tan \theta_{23}$ is directly determined by $\tan \theta_{23} = \text{Im}(\mathbf{M}_{e\mu})/\text{Im}(\mathbf{M}_{e\tau})$ satisfied in any models with complex neutrino masses irrespective of the values of $\sin \theta_{13}$ [17]. Both expressions of $\tan \theta_{23}$ are compatible if $(\text{Im}(\mathbf{M}_{e\mu}))^2 + (\text{Im}(\mathbf{M}_{e\tau}))^2 = 0$, yielding $\text{Im}(\mathbf{M}_{e\mu}) = \text{Im}(\mathbf{M}_{e\tau}) = 0$. Since the Dirac CP violation phase is absent for $\sin \theta_{13} = 0$, \mathbf{M} with the Majorana phases cancelled is necessarily real. In fact, we obtain that $\mathbf{M}_{e\mu} = c_{12}s_{12}c_{23}(m_2^2 - m_1^2)$ and $\mathbf{M}_{e\tau} = -\tan \theta_{23}\mathbf{M}_{e\mu}$ which automatically satisfy $\text{Im}(\mathbf{M}_{e\mu}) = \text{Im}(\mathbf{M}_{e\tau}) = 0$.

We next argue the implementation of the μ - τ symmetry based on the observation that it is sufficient for the symmetry to be respected by the real part of M_ν . From the discussions developed in Ref.[16], it can be extended to more general

¹ It is understood that the charged leptons and neutrinos are rotated, if necessary, to give diagonal charged-current interactions and to define the flavor neutrinos of ν_e , ν_μ and ν_τ .

case, where the real and imaginary parts are, respectively, replaced by $(z + e^{i\theta} z^*)/2$ ($\equiv z_+$) and $(z - e^{i\theta} z^*)/2$ ($\equiv z_-$) for a complex number of z and the phase parameter of θ . It is useful to notice that $z_+ = e^{i\theta/2}\text{Re}(e^{-i\theta/2}z)$ and $z_- = ie^{i\theta/2}\text{Im}(e^{-i\theta/2}z)$. The relevant mass matrix is provided by one of the textures found in Ref.[16]:

$$M_\nu = \begin{pmatrix} M_{ee} & M_{e\mu} & -\sigma e^{i\theta} M_{e\mu}^* \\ M_{e\mu} & M_{\mu\mu} & M_{\mu\tau} \\ -\sigma e^{i\theta} M_{e\mu}^* & M_{\mu\tau} & e^{i\theta} M_{\mu\mu}^* \end{pmatrix}, \quad (15)$$

where $M_{ee,\mu\tau} = e^{i\theta} M_{ee,\mu\tau}^*$, equivalently $(M_{ee,\mu\tau})_- = 0$, is imposed. This texture gives

$$\tan 2\theta_{12} = 2\sqrt{2} \frac{\cos 2\theta_{13} (M_{e\mu})_+}{c_{13} \left[(1 - 3s_{13}^2) (M_{\mu\mu})_+ - c_{13}^2 (\sigma (M_{\mu\tau})_+ + (M_{ee})_+) \right]}, \quad (16)$$

$$\tan 2\theta_{13} e^{i\delta} = 2\sqrt{2} \frac{\sigma (M_{e\mu})_-}{(M_{\mu\mu})_+ + \sigma (M_{\mu\tau})_+ + (M_{ee})_+}. \quad (17)$$

As discussed in Ref.[16], these expressions yield real values of $\tan 2\theta_{12,13}$ because of the property that $z'_+/z_+ = \text{Re}(e^{-i\theta/2}z')/\text{Re}(e^{-i\theta/2}z)$ and $z'_-/z_+ = i\text{Im}(e^{-i\theta/2}z')/\text{Re}(e^{-i\theta/2}z)$ for any complex values of z and z' . As a result, $\delta = \pm\pi/2$ is derived and M_ν gives maximal CP violation.

A texture with the Dirac CP violation phase related to the μ - τ symmetric texture is obtained by decomposing z and $e^{i\theta} z^*$ into z_+ and z_- and turns out to be $M_\nu = M_{+\nu} + M_{-\nu}$ with

$$M_{+\nu} = \begin{pmatrix} (M_{ee})_+ & (M_{e\mu})_+ & -\sigma(M_{e\mu})_+ \\ (M_{e\mu})_+ & (M_{\mu\mu})_+ & (M_{\mu\tau})_+ \\ -\sigma(M_{e\mu})_+ & (M_{\mu\tau})_+ & (M_{\mu\mu})_+ \end{pmatrix} = e^{i\theta/2} \text{Re}(e^{-i\theta/2} M_\nu),$$

$$M_{-\nu} = \begin{pmatrix} 0 & (M_{e\mu})_- & \sigma(M_{e\mu})_- \\ (M_{e\mu})_- & (M_{\mu\mu})_- & 0 \\ \sigma(M_{e\mu})_- & 0 & -(M_{\mu\mu})_- \end{pmatrix} = ie^{i\theta/2} \text{Im}(e^{-i\theta/2} M_\nu), \quad (18)$$

which shows that $M_{+\nu}$ has a phase $\theta/2$ modulo π while $M_{-\nu}$ has a phase $(\theta + \pi)/2$ modulo π . The μ - τ symmetry exists in $M_{+\nu}$ because Eqs.(12) and (13) are satisfied but is explicitly broken by $M_{-\nu}$. Therefore, this texture shows “incomplete” μ - τ symmetry [15]. Since $M_{+\nu}$ does not contribute to $\sin \theta_{13}$, $\sin \theta_{13}$ should be proportional to the flavor neutrino masses in $M_{-\nu}$. In fact, it is proportional to $(M_{e\mu})_-$ in Eq.(17). To speak of the Majorana phases, we have to determine neutrino masses, which can be computed from Eq.(7) and are given by

$$m_1 e^{-2i\beta_1} = (M_{\mu\mu})_+ - \sigma(M_{\mu\tau})_+ - \frac{1 + \cos 2\theta_{12}}{\sin 2\theta_{12}} \frac{\sqrt{2} (M_{e\mu})_+}{c_{13}},$$

$$m_2 e^{-2i\beta_2} = (M_{\mu\mu})_+ - \sigma(M_{\mu\tau})_+ + \frac{1 - \cos 2\theta_{12}}{\sin 2\theta_{12}} \frac{\sqrt{2} (M_{e\mu})_+}{c_{13}},$$

$$m_3 e^{-2i\beta_3} = \frac{c_{13}^2 ((M_{\mu\mu})_+ + \sigma(M_{\mu\tau})_+) + s_{13}^2 (M_{ee})_+}{\cos 2\theta_{13}}. \quad (19)$$

Since $z_+ = e^{i\theta/2} \text{Re}(e^{-i\theta/2}z)$, the texture gives three Majorana phases calculated to be: $\beta_{1,2,3} = -\theta/4$ modulo $\pi/2$. The common phase does not induce Majorana CP violation. This result reflects the fact that the source of the Majorana phases is the phase of M_ν in Eq.(18) equal to $\theta/2$, which can be rotated away by redefining appropriate fields. The remaining imaginary part $\text{Im}(e^{-i\theta/2} M_\nu)$ supplies the Dirac phase δ . Therefore, our proposed mass matrix becomes $\text{Re}(e^{-i\theta/2} M_\nu) + i\text{Im}(e^{-i\theta/2} M_\nu)$, which is equivalent to M_ν with $\theta=0$. No CP violating Majorana phases exist in our mass matrix.

The simplest choice of $\theta = 0$ provides the case where the real part of M_ν respects the μ - τ symmetry. This texture has been discussed in Ref.[13, 17], which takes the form of

$$M_\nu^{\mu-\tau} = \text{Re} \begin{pmatrix} M_{ee} & M_{e\mu} & -\sigma M_{e\mu} \\ M_{e\mu} & M_{\mu\mu} & M_{\mu\tau} \\ -\sigma M_{e\mu} & M_{\mu\tau} & M_{\mu\mu} \end{pmatrix} + i\text{Im} \begin{pmatrix} 0 & M_{e\mu} & \sigma M_{e\mu} \\ M_{e\mu} & M_{\mu\mu} & 0 \\ \sigma M_{e\mu} & 0 & -M_{\mu\mu} \end{pmatrix}, \quad (20)$$

where the real part is the well-known μ - τ symmetric texture as expected while the imaginary part breaks it.² The mixing angles of $\theta_{12,13}$ are given by

$$\begin{aligned}\tan 2\theta_{12} &\approx 2\sqrt{2} \frac{\text{Re}(M_{e\mu})}{\text{Re}(M_{\mu\mu}) - \sigma \text{Re}(M_{\mu\tau}) - \text{Re}(M_{ee})}, \\ \tan 2\theta_{13} e^{i\delta} &= 2\sqrt{2}\sigma \frac{i\text{Im}(M_{e\mu})}{\text{Re}(M_{\mu\mu}) + \sigma \text{Re}(M_{\mu\tau}) + \text{Re}(M_{ee})},\end{aligned}\quad (21)$$

from Eqs.(16) and (17). The expression of $\tan 2\theta_{12}$ is obtained by taking the approximation $\sin^2 \theta_{13} \approx 0$. The maximal CP violation by $e^{i\delta} = \pm i$ is explicitly obtained.

Summarizing our discussions, we have advocated to use the possibility that the real part of M_ν only respects the μ - τ symmetry. This possibility is extended to the more general case of $M_\nu = M_{+\nu} + M_{-\nu}$ in Eq.(18), where $M_{+\nu}$ serves as a μ - τ symmetric texture and the symmetry-breaking term of $M_{-\nu}$ acts as a source of $\sin \theta_{13} \neq 0$. The consistency of the texture is given by the property that particular combinations of z , z^* and $e^{i\theta}$ become real or pure imaginary. This property ensures the appearance of real values of $\theta_{12,13}$ while the real value of θ_{23} arises from $\tan \theta_{23} = \text{Im}(\mathbf{M}_{e\mu})/\text{Im}(\mathbf{M}_{e\tau})$. It should be noted that θ_{23} is not determined by $\tan \theta_{23} = -\text{Re}(\mathbf{M}_{e\tau})/\text{Re}(\mathbf{M}_{e\mu})$ as in the μ - τ symmetric texture because the Dirac CP violation phase is now active. It turns out that $M_\nu = e^{i\theta/2}[\text{Re}(e^{-i\theta/2}M_\nu) + i\text{Im}(e^{-i\theta/2}M_\nu)]$, which gives no intrinsic Majorana CP violation while the Dirac CP violation becomes maximal.

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² In this context, another solution is to abandon to have $\sin \theta_{13} = 0$ in $\text{Re}(M_\nu^{\mu-\tau})$, which is realized by $M_{e\tau} = \sigma M_{e\mu}$ instead of $M_{e\tau} = -\sigma M_{e\mu}$ in Eq.(20), and CP violation ceases to be maximal [16]. To discuss μ - τ symmetry in this type of texture is out of the present scope.

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